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Substitute for form 1449A/PTO					Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					Application Number	
					Filing Date	
					First Named Inventor	
					Group Art Unit	1616
					Examiner Name	BAWA, R.
Sheet	1	of	5		Attorney Docket Number	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number

Filing Date

First Named Inventor

Group Art U

Examiner Name BAWA, R.

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		6,264,945		Fischetti et al.	07-24-2001	
		6,254,866		Fischetti et al	07-03-2001	
		6,248,324		Fischetti et al.	06-19-2001	
		6,238,661		Fischetti et al.	05-29-2001	
		6,056,955		Fischetti et al.	05-02-2000	
		6,056,954		Fischetti et al.	05-02-2000	
		6,017,528		Fischetti et al.	01-25-2000	
		5,997,862		Fischetti et al.	12-07-1999	
		5,985,271		Fischetti et al.	11-06-1999	
		5,688,501		Merril et al.	11-18-1997	
		4,957,686		Norris	09-18-1990	
		5,604,109		Fischetti et al.	02-18-1997	
		5,882,631		Suga et al.	03-16-1999	
		5,741,487		Asai et al.	04-21-1998	
		6,113,887		Mori et al.	09-05-2000	
		4,122,158		Schmitt	10-24-1978	
		3,983,209		Schmitt	09-28-1976	
		6,113,887		Mori et al.	09-05-2000	
		4,885,163		Shaar et al.	12-05-1989	

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FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
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				First Named Inventor	
				Group Art Unit	1619
				Examiner Name	BAWA
				Attorney Docket Number	
Sheet	2	of	5		

U.S. PATENT DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Application Number	
Filing Date	
First Named Inventor	
Group Art Unit	1619
Examiner Name	BAWA
Attorney Docket Number	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Reisenger, et al. (1998) "Characterization of Escherichia coli lysis using a family of chimeric E-L Genes" <i>Fems Microbiol Letter</i> . 164(1) p.159-167	
		Sheehan MM, et al. (1997) "The lytic enzyme of the Pneumococcal Phage Dp-1: a chimeric lysis of intergeneric origin." <i>Mol. Microbiol</i> . 25(4) p. 717-725	
		Young et al. (2000) "Phages will out: stragegies of host cell lysis." <i>Trends in Microbiology</i> . 8(4) p. 120-127	
		Garcia et al.(1997) "The Pneumococcal cell wall degrading enzymes: A modular design to create new lysins?" <i>Microb. Drug Resist.</i> 3(2): p. 199-211	
		Sheehan MM, et al., (1997) "The Lytic Enzyme of the Pneumococcal Phage Dp-1: a Chimeric Lysin of Intergeneric Origin" <i>Mol. Microbiol</i> . 25(4) p. 717-25	
		Garcia P, et al. (1997) "Bacteriophages of Streptococcus pneumoniae: a molecular approach" <i>Microb. Drug Resist.</i> 3(20) 165-76.	
		Sheehan, MM, et al.(1996) "Analysis of the catalytic domain of the lysin of the lactococcal bacteriophage Tuc2009 by chimeric gene assembling." <i>FEMS Microbiol.Lett.</i> 14(1): p. 23-28.	
		Sanz, JM, et al. (1996) "Construction of a multifunctional pneumococcal murein hydrolase by module assembly." <i>Eur. J. Biochem</i> . 235(3):601-5	
		Lopez R, et al. (1995) "Architecture and Domain Interchange of the Pneumococcal Cell Wall Lytic Enzymes" <i>Dev. Biol. Stand.</i> 85 p. 273-81.	

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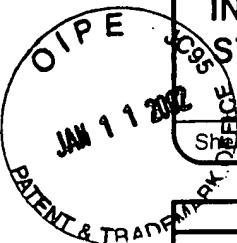
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Sheet

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Application Number	
Filing Date	
First Named Inventor	
Group Art Unit	1619
Examiner Name	BAWA
Attorney Docket Number	



OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Croux, et al.(1993) "Interchange of Functional Domains Switches Enzymes Specificity: Construction of a chimeric pneumococcal-clostridial cell wall lytic enzyme." <i>Mol. Microbiology</i> . 9(5) p. 1019-25.	
		Diaz, E. et al. (1990) "Chimeric phage-bacterial enzymes: a clue to the modular evolution of genes. <i>PNAS</i> . 87(20) p. 8125-9.	
		Diaz, E. et al.(1990) "Chimeric pneumococcal cell wall lytic enzymes reveal important physiological and evolutionary traits." <i>J. Biol. Chem.</i> 266(9) p. 5464-71.	
		Lopez, et al. (1997) "The pneumococcal cell wall degrading enzymes: A modular design to create new lysins?" <i>Microbiological Drug Resistance</i> . 3(2) p. 199-211.	
		Loessner, et al. (1999) "Evidence for a holin-like protein gene fully embedded out of frame in the endolysin gene of <i>Staphylococcus aureus</i> Bacteriophage." (1999) <i>Journal of Bacteriology</i> . 181(15) p. 4452-4460.	
		Witte, A et al. (1998) "Characterization of <i>Escherichia coli</i> lysis using a family of chimeric E-L genes." <i>FEMS Microbiol. Lett.</i> , 164(1), p. 159-167	
		Martin, Ana C et al (1998): "Functional analysis of the two-gene lysis system of the pneumococcal phage Cp-1 in homologous and heterologous host cells." <i>Journal of Microbiology</i> 180(2), p. 210-217	
		Oki Masaya et al. (1997) "Functional and structural features of the holin HOL protein of the <i>Lactobacillus plantarum</i> phage phi-gle: Analysis in <i>Escherichia coli</i> system." <i>Gene (AMSTERDAM)</i> 197(1-2) p 137-145.	

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LIST OF NEW HORIZON PATENTS AND PATENT APPLICATIONS

Patent/Application No.	Country	Filing Date	Issue Date	Inventors	Title
Pat. No. 5,604,109 App No.09/962,523	U.S.A.	09-13-94	02-18-97	Fischetti	A Method for Exposing Group A Streptococci Antigens and an Improved Diagnostic Test for the Identification of Group Streptococcus.
Pat. No. 5,997,862 App No.09/962,523	U.S.A.	10-31-97	12-7-99	Fischetti Loomis	Therapeutic treatment of Group A Streptococcal Infections
Pat. No. 6,017,528 App No. 09/257,025 (Cert. of Correction)	U.S.A.	02-25-99	01-25-00	Fischetti Loomis	Therapeutic Treatment of Group A Streptococcal Infections
Pat. No. 5,985,271 No.09/257,026 (Cert. of Correction)	U.S.A.	02-25-99	11-16-99	Fischetti Loomis	Prophylactic and Therapeutic Treatment of App of Group A Streptococcal Infections
Pat. No. 6,506,954 App.No. 09/395,636 (Cert. of Correction)	U.S.A.	09-14-99	05-02-00	Fischetti Loomis	Use of Bacterial Phage Associated Lysing Enzymes for the Prophylactic and Therapeutic Treatment of Various Illnesses
Pat. No. 6,238,661 App. No. 09/497,495	U.S.A.	04-18-00	05-29-01	Fischetti Loomis	Use of Bacterial Phage Associated Lysing Enzymes for Treating Various Illnesses
Pat. No. 6,264,945 App. No.09/482,992	U.S.A.	01-14-00	07-24-01	Fischetti Loomis	Parenteral Use of Bacterial Phage Associated Lysing Enzymes for the Therapeutic

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Pat. No. 6,056,955 App. No. 09/395,637	U.S.A.	09-14-99	05-02-00	Fischetti Loomis	Treatment of Bacterial Infections
App. No. 09/654,484	U.S.A.	09-01-00	Trudil		The Use of Phage Associated Lytic Enzymes For the Rapid Detection of Bacterial
App. No. 09/654,483	U.S.A.	09-01-00		Fischetti Loomis	A Composition for Treatment of A Bacterial Infection of An Upper Respiratory Tract
Pat. No. 6,254,866 App. No. 09/653,690	U.S.A.	09-01-00	07-03-01	Fischetti Loomis	Use of Phage <u>Associated</u> Lytic Enzymes For Treating Bacterial Infections of the Digestive Tract
App. No. 09/654,482	U.S.A.	09-01-00		Fischetti Loomis	A Composition for Treatment of a Bacterial Infection of the Digestive Tract
Pat. No. 6,277,399 App. No. 09/671,882	U.S.A.	09-28-00	08-21-01	Fischetti Loomis	Compositions Incorporating Bacterial Phage Associated Lysing Enzymes For Treating Dermatological Infections
App. No. 09/671,881	U.S.A.	09-28-00		Fischetti Loomis	A Composition for Treatment of Vaginal Bacterial Infections
App. No. 09/671,880	U.S.A.	09-28-00		Fischetti Loomis	A Composition for Treatment of An Ocular Bacterial Infection
Pat. No. 6,248,324	U.S.A.	09-28-00	06-19-01	Fischetti	Bacterial Phage Associated Lysing

App. No. 09/671,879

Loomis
Enzymes for Treating Dermatological
Infections

App. No. 09/671,878 U.S.A. 09-28-00

Fischetti
Loomis
The Use of Bacterial Phage Associated
Lytic Enzymes for Treating
Dermatological Infections

App. No. 09/671,991 U.S.A. 09-28-00

Fischetti
Loomis
A Composition for Treating Dental
Caries Caused by Streptococcus Mutans

Pat. No. 6,335,012
App. No. 09/671,992 U.S.A. 09-28-00 01-01-02

Fischetti
Loomis
A Method for Treating Dental Caries
Caused by Streptococcus Mutans

NOTE: THE USPTO PRINTED THE WRONG TITLE AND THE WRONG CLAIMS

Pat. No. 6,326,002 U.S.A. 09-28-00 12-04-01
App. No. 09/671,990

Fischetti
Loomis
The Use of Bacterial Phage Associated
Lytic Enzymes for Treating Bacterial
Infections of the Upper Respiratory
System

App. No. 09/704,148 U.S.A. 11-02-00

Fischetti
Loomis
The Use of Bacterial Phage Associated
Lytic Enzymes to Prevent Food
Poisoning

App. No. 09/560,650 U.S.A. 04-28-00

Fischetti
Loomis
The Use of Bacterial Phage
Associated Lysing Enzymes for the
Prophylactic and Therapeutic
Treatment of Various Illnesses

App. No. 09/752,731 U.S.A. 01-03-01

Fischetti
Loomis
The Parental Use of Bacterial Phage
Associated Lysing Enzymes For The
Lysing Enzymes for the Therapeutic

App. No. 09/932,460	U.S.A.	07-13-01	Fischetti Loomis	Treatment of Bacterial Infections
Prov. No. 60/324,089	U.S.A.	09-24-01	Fischetti Loomis	The Use of Bacterial Phage Associated Lysing Enzymes for Treating Various Illnesses
App. No. 09/960,472	U.S.A.	09-24-01	Fischetti Loomis	The Use of Bacterial Shuffled and Chimeric Phage Associated Lytic Enzymes for the Prophylactic and Therapeutic Treatment of Colonization and Infections caused By <i>Streptococcus Pneumoniae</i>
App. No. 09/908,737	U.S.A.	07-19-01	Fischetti Loomis	The Use of Bacterial-Phage Associated Lysing Enzymes for the Prophylactic and Therapeutic Treatment of Colonization and Infections Caused by <i>Streptococcus pneumoniae</i>
App. No. 09/910,940	U.S.A.	07-13-01	Fischetti Loomis	Parenteral Use of Bacterial Phage Associated Lysing Enzymes for the Therapeutic Treatment of Bacterial Infections
App. No. 09/844,435	U.S.A.	04-30-01	Fischetti Loomis	The Use of Bacterial Phage Associated Lytic Enzymes to Prevent Food Poisoning
				The Use of Bacterial Phage Associated Lysing Enzymes For

App. No. 09/846,688 U.S.A. 05-02-02

Treating Various Illnesses

Fischetti
Loomis
The Use of Bacterial Phage
Associated Lysing Proteins for the
Prophylactic and Therapeutic
Treatment of Various Illnesses

PCT/US99/04063	PCT	02-25-99
N/A	INDIA	
99909595.3	EPO	
2000-600679	JAPAN	
2001126302	RUSSIAN FEDERATION	
not yet filed	POLAND	
P19917152-0	BRAZIL	
514260	NEW ZEALAND	
2001-700898	NORTH KOREA	
200105279-4	SINGAPORE	
N/A	CANADA	
145087	ISRAEL	
28/6/99	AUSTRALIA	
N/A	CHINA	

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A Means for the Prophylactic and
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Streptococcal Infections